

Frequently Asked Questions: Industry SAQ

1. What is Modern Slavery?

Modern slavery refers to situations where one person has taken away another person's freedom, so they can be exploited. Please refer to the Walk Free Foundation's short information guide for further information: <https://cdn.minderoo.com.au/content/uploads/2019/08/15105028/20190815-understanding-modern-slavery-p.pdf>

2. Why are you focusing on Modern Slavery?

There are an estimated 40 million victims of modern slavery globally. Civil society estimates that modern slavery affects every country, region, business and for many businesses – their supply chains. The introduction of the UK Modern Slavery Act (2015) and the Australian Modern Slavery Act 2018 (Cth) require that businesses do more to address risks of modern slavery within their own organisations and supply chains. These laws are intended to increase business awareness and improve transparency of modern slavery risks across domestic and international supply chains.

3. What is the SAQ?

SAQ means "Self-Assessment Questionnaire". The SAQ is designed to assist suppliers to identify types of modern slavery related risk, collaborate with customers to address these risks, improve transparency across shared supply chains and identify areas for further due diligence. The SAQ has been split into two sections with Part A focusing on supplier's details and Part B on the risk assessment. All questions must be completed.

4. Is the SAQ available in any other languages?

Yes, the SAQ has been translated into Chinese, Spanish and Portuguese. Please contact your customer procurement representative if you require a non-English version.

5. Why has the industry taken a collaborative approach to co-design a SAQ?

Recognising the industry has shared supply chains, we designed a common SAQ to promote efficiency and reduce the reporting burden for suppliers who will likely receive requests from their customers on modern slavery. By agreeing a common SAQ, it is anticipated this will reduce any duplication of efforts, minimise the cost of compliance and provide clarity to suppliers by using consistent terminology.

6. Why are all suppliers not being asked to complete the SAQ at the same time?

The implementation approach will be determined on a case by case basis with consideration of factors such as the size of the supplier base and supplier risk profile. The initial phased rollout may result in selected suppliers being requested to complete the questionnaire at different times.

7. How long will this SAQ take?

We expect for most suppliers, it will take 10 to 15 minutes to complete, as many questions require a simple "yes/no" response, and only selected questions require detailed descriptions. It may take longer for suppliers completing the SAQ for the first time.

8. Can I annex additional documents?

Yes. We expect you will need additional space to respond to certain questions, so please do annex supporting documents with your completed SAQ as required.

9. Does this collaborative approach contradict any competitions laws?

No. The SAQ has been designed in a collaborative manner between the participating companies in consideration of applicable domestic and international competition laws.

10. Will the industry share the completed SAQ amongst the participating companies?

A completed SAQ will not be shared amongst the participating companies without your prior written consent. However, you are encouraged to share the completed SAQ with any participating

organisation that requests similar due diligence information.

11. Can any company use this SAQ?

Yes. This SAQ has been designed to be used and shared by any company across any industry. We encourage any company to use this template SAQ to identify modern slavery risks within your own supply chain. As this is a newly issued SAQ and being piloted, there may be changes to the document when it is reviewed in mid 2020. If you have feedback or improvement suggestions, please communicate these to your procurement representative.

12. How often would I have to complete the SAQ?

Suppliers should regularly review the SAQ and make updates if there are changes in your business. The SAQ's review period will be at the discretion of the requesting customer. If you are aware of any changes to your answers, please promptly notify your procurement representative.

13. Can I fail the SAQ?

The SAQ is not designed to "pass or fail" suppliers. Rather, the purpose of the SAQ is to identify modern slavery risks within your and your supplier's supply chains as well as to identify any remediation efforts to address these issues in a sustainable manner.

14. What will happen after I complete the SAQ?

Please speak to your procurement representative, who can provide you with details of next steps after you complete the SAQ. The next steps will vary from company to company.

15. Which companies designed this SAQ?

The following companies contributed to the development of this SAQ through a collaborative working group designed to address modern slavery risks in businesses and their supply chains:

- Anglo American
- CITIC Pacific Mining
- Fortescue Metals Group
- Gold Fields
- INPEX
- Iluka Resources
- South 32
- Synergy
- Western Power
- ATCO

If you have any other questions, please speak to your procurement representative.